

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Lechter

Art Unit: Not yet assigned

Application No. 09/936,005

CERTIFICATE OF MAILING

Filed: September 7, 2001

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service on January 14, 2002, as First Class Mail in an envelope addressed to:
BOX PCT, COMMISSIONER FOR PATENTS,
WASHINGTON D.C. 20231.

For: UNIVERSAL PROTEIN ARRAY SYSTEM

Examiner: Not yet assigned

Date: January 14, 2002

Tanya M. Harding, Ph.D.
Attorney for Applicant

MISSING PARTS TRANSMITTAL LETTER

BOX PCT
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Washington, DC 20231

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Enclosed for filing in the application referenced above are the following:

- ☒ Copy of Notice to File Missing Requirements Under 35 U.S.C. 371
- ☒ Response to Notification of Missing Requirements
- ☒ Copy of previous submission of Combined Declaration and Power of Attorney
- ☒ Combined Declaration and Power of Attorney
- ☒ The Surcharge for Late Filing of Fee or Oath or Declaration was previously submitted with the papers filed on November 14, 2001.
- ☒ The Director is hereby authorized to charge any additional fees which may be required, or credit over-payment, to Account No. 02-4550. A copy of this sheet is enclosed.
- ☒ Please return the enclosed postcard to confirm that the items listed above have been received.

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

By

Tanya M. Harding, Ph.D.
Registration No. 42,630

One World Trade Center, Suite 1600
121 S.W. Salmon Street
Portland, Oregon 97204
Telephone: (503) 226-7391
Facsimile: (503) 228-9446

cc: Docketing

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Ge

Art Unit: Not yet assigned

Application No. 09/936,005

Filed: September 7, 2001

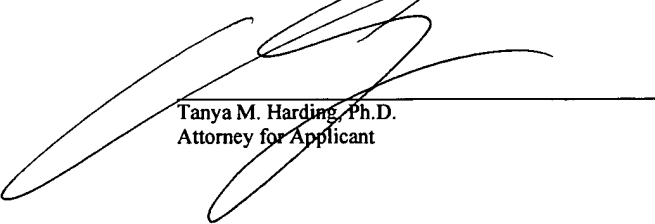
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Tanya M. Harding, Ph.D.
Attorney for Applicant

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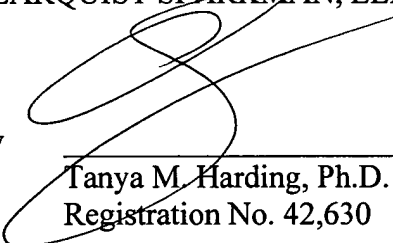
RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

This is in response to the Notification of Missing Requirements dated December 6, 2001, for which a two-month period for response was set, making an answer due on or before February 6, 2002. Applicants submitted the executed Combined Declaration and Power of Attorney, and the required surcharge fee, via Express Mail (Express Mail Label No. EL874428535US) on November 14, 2001. A copy of that submission is attached to this Response. Applicants believe that there are no further requirements pending, and that this application is now complete and ready for substantive examination.

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

By


Tanya M. Harding, Ph.D.
Registration No. 42,630

One World Trade Center, Suite 1600
121 S.W. Salmon Street
Portland, Oregon 97204
Telephone: (503) 226-7391
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NIH/TMH



UNITED STATES PATENT AND TRADEMARK OFFICE

 Commissioner for Patents, Box PCT
 United States Patent and Trademark Office
 Washington, D.C. 20231
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/936,005	Hui Ge	4239-60893

 24197
 KLARQUIST SPARKMAN, LLP
 121 SW SALMON STREET
 SUITE 1600
 PORTLAND, OR 97204

INTERNATIONAL APPLICATION NO.	
PCT/US00/06244	
I.A. FILING DATE	PRIORITY DATE
03/10/2000	

 CONFIRMATION NO. 7848
 371 FORMALITIES LETTER


OC000000007162536

Date Mailed: 12/06/2001

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Request for Immediate Examination

 DOCKETED FOR: 7/16/02
7/16/02
 COMPUTER ☒
 CARD ☐
 BOOK ☒
 DRAWER ☐
 BKPR ☐
 ANN. SVE ☐

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Additionally the following defects have been observed:

- Additional claim fees of **\$324** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$324** for a Large Entity:

- Total additional claim fee(s) for this application is **\$324**
 - **\$54** for **26** total claims over 20.
 - **\$270** for multiple dependant claims surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

LAMONT M HUNTER

Telephone: (703) 305-3686

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/936,005	PCT/US00/06244	4239-60893

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Ge

Art Unit: Not yet assigned

Application No. 09/936,005

Filed: September 7, 2001

For: UNIVERSAL PROTEIN ARRAY SYSTEM

Examiner: Not yet assigned

Date: November 14, 2001

COPY

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COMMISSIONER FOR PATENTS
WASHINGTON, DC 20231

Enclosed for filing in the application referenced above are the following:

- ☒ Combined Declaration and Power of Attorney
 - ☒ Surcharge for Late Filing of Fee or Oath or Declaration
 - ☒ \$130.00 - Small Entity
- ☒ A check in the amount of \$130.00 to cover the above-listed fee for late filing of Declaration.
- ☒ The Director is hereby authorized to charge any additional fees that may be required, or credit over-payment, to Account No. 02-4550. A copy of this sheet is enclosed.
- ☒ Please return the enclosed postcard to confirm that the items listed above have been received.

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

By

Tanya M. Harding, Ph.D.
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One World Trade Center, Suite 1600
121 S.W. Salmon Street
Portland, Oregon 97204
Telephone: (503) 226-7391
Facsimile: (503) 228-9446

cc: Docketing

**KLARQUIST SPARKMAN CAMPBELL
LEIGH & WHINSTON, LLP**
ATTORNEYS AT LAW
ONE WORLD TRADE CENTER, SUITE 1600
121 S.W. SALMON ST. PH. 503-226-7391
PORTLAND, OR 97204

WELLS FARGO BANK
FIFTH AND SALMON BRANCH
PORTLAND, OR 97208
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DATE

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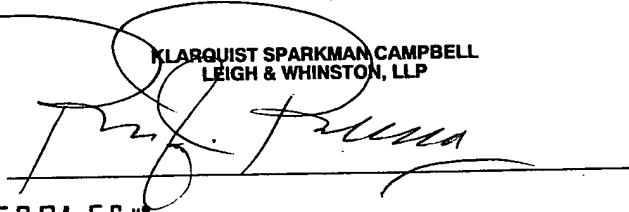
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Director - U.S. Patent and Trademark Office
Express Mail EL874428535US

**KLARQUIST SPARKMAN CAMPBELL
LEIGH & WHINSTON, LLP**



⑈089047⑈ ⑆121000248⑆4159 589456⑈

CLIENT/MATTER NO. 4239-60893 ATTY/SEC TMH/JLB

INVENTOR(S): Hui Ge

APP. NO. 09/936,005 FILING DATE 9-7-2001 **PAPE**

The following, due _____, mailed _____
by First Class Mail, was received in the U.S. PTO on the date stamped hereon:

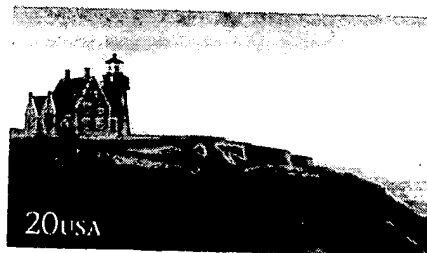
<input type="checkbox"/> Amendment <input type="checkbox"/> Marked Up Claims/Spec. <input type="checkbox"/> After Final Rejection	
<input type="checkbox"/> Extension of Time/Extension Fee for _____ Months	
<input type="checkbox"/> Notice to File Missing Parts - Date _____	<input type="checkbox"/> Ltr to Disputsperson
<input type="checkbox"/> Notice to File Corrected App. Papers - Date _____	<input type="checkbox"/> Notice of Appeal
<input checked="" type="checkbox"/> Comb. Dec./POA <input type="checkbox"/> Sht(s) Drawings	<input checked="" type="checkbox"/> Late Fee <input type="checkbox"/> Sht(s) Drawings
<input type="checkbox"/> Issue Fee Transmittal (Form PTOL-85b)	<input type="checkbox"/> Sequence Listing _____ pages
<input type="checkbox"/> Supp. Dec. <input type="checkbox"/> Issue/Adv. Order fees	<input type="checkbox"/> Stmt in Comp. _____ Disk
<input type="checkbox"/> IDS <input type="checkbox"/> Form PTO-1449/Ref.	<input type="checkbox"/> Petition
<input checked="" type="checkbox"/> Assignment to: <u>02</u> <input type="checkbox"/> Recordal Sheet	
<input checked="" type="checkbox"/> Transmittal Ltr. + <input checked="" type="checkbox"/> 2 copy(ies)	<input type="checkbox"/> Request for Corrected Filing Receipt
<input checked="" type="checkbox"/> Check No. <u>89047</u> for \$ <u>130.-</u>	<input checked="" type="checkbox"/> Deposit Acct. 02-4550 Authority

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DEC 18 2001

KLARQUIST SPARKMAN CAMPBELL
LEIGH & WHINSTON, LLP



KLARQUIST SPARKMAN CAMPBELL LEIGH & WHINSTON, LLP

PATENT LAWYERS

One World Trade Center, Suite 1600

121 S.W. Salmon Street

Portland, Oregon 97204

U.S.A.

